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## Pulmonary neuroendocrine (carcinoid) tumors: European Neuroendocrine Tumor Society expert consensus and recommendations for best practice for typical and atypical pulmonary carcinoids

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**Background:** Pulmonary carcinoids (PCs) are rare tumors. As there is a paucity of randomized studies, this expert consensus document represents an initiative by the European Neuroendocrine Tumor Society to provide guidance on their management.

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**Patients and methods:** Bibliographical searches were carried out in PubMed for the terms 'pulmonary neuroendocrine tumors', 'bronchial neuroendocrine tumors', 'bronchial carcinoid tumors', 'pulmonary carcinoid', 'pulmonary typical/atypical carcinoid', and 'pulmonary carcinoid and diagnosis/treatment/epidemiology/prognosis'. A systematic review of the relevant literature was carried out, followed by expert review.

**Results:** PCs are well-differentiated neuroendocrine tumors and include low- and intermediate-grade malignant tumors, i.e. typical (TC) and atypical carcinoid (AC), respectively. Contrast CT scan is the diagnostic gold standard for PCs, but pathology examination is mandatory for their correct classification. Somatostatin receptor imaging may visualize nearly 80% of the primary tumors and is most sensitive for metastatic disease. Plasma chromogranin A can be increased in PCs. Surgery is the treatment of choice for PCs with the aim of removing the tumor and preserving as much lung tissue as possible. Resection of metastases should be considered whenever possible with curative intent. Somatostatin analogs are the first-line treatment of carcinoid syndrome and may be considered as first-line systemic antiproliferative treatment in unresectable PCs, particularly of low-grade TC and AC. Locoregional or radiotargeted therapies should be considered for metastatic disease. Systemic chemotherapy is used for progressive PCs, although cytotoxic regimens have demonstrated limited effects with etoposide and platinum combination the most commonly used, however, temozolomide has shown most clinical benefit.

**Conclusions:** PCs are complex tumors which require a multidisciplinary approach and long-term follow-up.

**Key words:** pulmonary, carcinoid, bronchial, typical carcinoid, atypical carcinoid, neuroendocrine tumor

## Introduction

This expert consensus document represents an initiative by the European Neuroendocrine Tumor Society (ENETS) to provide guidance on the management of pulmonary carcinoid (PC)/well-differentiated neuroendocrine tumors (NETs) taking into account advances in the characterization of NETs as well as improvements in both diagnosis and treatment. This 'expert' consensus opinion arises from two meetings of the ENETS Advisory Board held in 2012 and 2013. There is a paucity of randomized studies and as the disease is relatively uncommon the level of evidence is limited compared with more common cancers. All agree that the management of such patients should involve a multidisciplinary tumor board with a specific interest/expertise in NETs. The terminology used reflects the current practice of the term 'carcinoid' in pulmonary disease, specifically typical (TC) and atypical (AC) carcinoids. At this stage, there has not been a move to change toward the WHO terminology for gastroenteropancreatic (GEP) NETs. A systematic review of the relevant literature was carried out, and this was followed by appraisal and expert review.

## Literature search methods

The Oxford Centre for Evidence-Based Medicine's Levels of Evidence (2011; Centre for Evidence-Based Medicine, Levels of Evidence, <http://www.cebm.net/index.aspx?o¼1025>) were used to evaluate the evidence cited in this consensus document, and outcomes were additionally graded according to the modified Grading of Recommendations Assessment Development and Evaluation Working Group 2007 (<http://www.gradeworkinggroup.org/>).

Bibliographical searches were carried out in PubMed for the terms 'pulmonary neuroendocrine tumors', 'bronchial neuroendocrine tumors', 'bronchial carcinoid tumors', 'pulmonary carcinoid', 'pulmonary typical carcinoid', 'pulmonary atypical carcinoid', 'pulmonary carcinoid and diagnosis', 'pulmonary carcinoid and treatment', 'pulmonary carcinoid and epidemiology', and 'pulmonary carcinoid and prognosis'. For each term, the search was carried out without any filter in first instance,

thereafter applying filters for publication type [Randomized, controlled trials (RCTs), Case Reports] and using the Mesh Terms 'Case-control Studies' and 'cohort studies'. No language restriction was applied.

## Literature search results

For the term 'pulmonary neuroendocrine tumors', we found 11 302 articles in total, 2669 case reports, 1028 cohort studies, 580 case-control studies, and 36 RCTs. For the term 'bronchial neuroendocrine tumors', we found 1834 articles in total, 761 case reports, 233 cohort studies, and 124 case-control studies, while no RCT was available. For the term 'bronchial carcinoid tumors', we found 1611 articles in total, 661 case reports, 224 cohort studies, and 119 case-control studies, while no RCT was available. For the term 'pulmonary carcinoid', we found 3080 articles in total, 1027 case reports, 454 cohort studies, 274 case-control studies, and 5 RCTs. For the term 'pulmonary typical carcinoid', we found 575 articles in total, 135 case reports, 131 cohort studies, 85 case-control studies, and 1 RCT. For the term 'pulmonary atypical carcinoid', we found 576 articles in total, 137 case reports, 123 cohort studies, and 87 case-control studies, while no RCT was available. For the terms 'pulmonary carcinoid and diagnosis', we found 2554 articles in total, 895 case reports, 421 cohort studies, 257 case-control studies, and 4 RCTs. For the terms 'pulmonary carcinoid and treatment', we found 1420 articles in total, 539 case reports, 331 cohort studies, 185 case-control studies, and 3 RCTs. For the terms 'pulmonary carcinoid and epidemiology', we found 339 articles in total, 17 case reports, 158 cohort studies, 109 case-control studies, and 1 RCT. Finally, for the terms 'pulmonary carcinoid and prognosis', we found 192 articles in total, 7 case reports, 96 cohort studies, and 70 case-control studies, while no RCT was available.

We excluded *in vitro* and animal studies, and prioritized meta-analyses, systematic reviews, and RCTs where available. We finally identified a total of 176 pertinent articles with the strongest level of evidence. In more detail, we considered 54 articles for 'Epidemiology paragraph', 36 for 'Pathology

paragraph', 35 for 'Diagnosis paragraph', 15 for 'Surgery paragraph', and 36 110 for 'Medical therapy paragraph'.

## terminology issue

The term neuroendocrine (NE) neoplasm encompasses the whole spectrum of lung tumors characterized by NE morphology and differentiation [1, 2], including well-differentiated and poorly differentiated tumors. The historical term carcinoid, either TC or AC for the subgroups of well-differentiated NET, is preferable over other proposed alternative names derived from the concept of either tumor grading [3–5], tumor extension (localized versus metastatic) [6] or tumor behavior [7, 8]. This is because TC and AC are identified as unique clinico-pathological traits that separate it clearly from the remaining (poorly differentiated) NET which includes the high-grade large cell neuroendocrine carcinoma (LCNEC) and small cell lung carcinoma (SCLC) [2]. In the following text, the terms PC and pulmonary NET will be used.

## epidemiology

PCs are rare tumors with an age-adjusted incidence rate ranging from 0.2 to 2/100 000 population/year in both US and European countries [9–23]. There has been an increasing prevalence over the last 30 years, ~6% per year, regardless of confounding demographic factors, such as age, gender, race, and stage distribution [16, 24]. This tendency of PCs to increase over time is likely due to improved awareness [25, 26] and increased use of special immunohistochemistry (IHC) stains [14, 16, 21, 27]. PCs prevail slightly in women over men, in whites over blacks, or other ethnicities including Hispanic and Asian people [10–14, 16, 18–23, 28–31]. They occur in the fourth to sixth decades of life, with a younger mean age (45 years) for TC and one decade later for AC [20, 30–33]. PCs are the most common primary lung neoplasm in children [34, 35] and late adolescents [35–38], with TC prevailing by far over AC.

The prevalence of pulmonary NETs compared with the main subtypes of lung cancer has remained relatively unchanged except for SCLC, which has shown decreasing incidence, at least in men [10], probably due to major changes in smoking habits [27]. Currently, PCs account for 1–2% of all invasive lung malignancies, roughly corresponding to one-fourth to one-third of all well-differentiated NETs throughout the body. The large majority of poorly differentiated NE carcinomas (NECs), accounting for ~90%, develop in the lung [16, 17, 20, 39, 40] likely due to their strong association with smoking.

The majority of PC patients develop in never or current light smokers [31, 41–45], although more AC patients are current or former smokers than TC patients [11, 30, 41, 44]. In contrast, SCLC and LCNEC are associated with heavy smokers [2]. PCs may also develop in patients with a previous history of cancer (especially skin, urogenital, and respiratory tract) [30], although the frequency of these tumors in the population may account for this association and PC may be detected due to increased surveillance. PCs are usually sporadic lesions; however, rare familial cases have been reported [46, 47]. Up to 5% of patients with multiple endocrine neoplasia type 1 (MEN1) harbor PCs, usually TC with a smaller number of AC [22, 48–51]. Clinical

evolution of these familial tumors is generally favorable, but life-threatening tumors are also on record [46].

The ratio between TC and AC is about 8–10:1, so AC is the most uncommon of the lung NETs [2, 27–29, 52], even though some recent studies have described a relative excess of AC diagnoses [17, 20, 30]. Due to inconsistency in AC reporting or coding, data from cancer registries are not generally reliable for information about TC versus AC including data from the National Cancer Institute (NCI) Surveillance, Epidemiology, and End Results (SEER) program because peer review of the histological slides is not possible [53].

The decreased survival of PCs observed over the last 30 years is likely due to an increased recognition of AC over TC [27]. This distribution is closely in keeping with the current histological classification, as TCs are metastatic in up to 15% of cases, usually to regional lymph nodes, with a median time to recurrence of 4 years, whereas ACs are regionally or distantly metastatic in up to one half of tumors with a median time to recurrence of 1.8 years. However, for both TC and AC, recurrence may not occur until many years later, hence the need for long-term surveillance [2, 18, 21, 54].

PCs are also detected in lung cancer screening low-dose computed tomography (CT) trials, especially at the baseline with a prevalence of TC over AC [25, 26]. On annual repeat controls, AC tends to prevail probably because of its inherent greater malignant potential [25].

## pathology

### classification

PCs are classified according to the widely agreed WHO 2004 scheme [2], i.e. TC and AC. The term of carcinoid has been maintained during all WHO classifications published since 1967, even though the diagnostic criteria separating TC from AC were only introduced in the 1999 WHO classification and subsequently maintained in the 2004 WHO classification [2, 55]. Many other classification schemes and terminologies have been proposed over time, either introducing a concept of tumor grading [3–5, 56], applying different thresholds to current diagnostic criteria [7, 57], or generalizing the taxonomy used in GEP NETs [6, 58, 59]. These different proposals have not gained general acceptance yet because of the lack of clear clinical evidence for significant advantages over the current WHO classification. The recent proposal of tumor grading based on a combination of KI-67 labeling index, mitotic rate, and necrosis may be of clinical importance if it can be validated [56].

PCs are tumors with NE morphology and differentiation, which are deemed to derive from, or differentiate toward, the mature NE cells of the pulmonary diffuse NE system [60–62]. They encompass low (TC) to intermediate (AC) malignant lesions, which do not have any causative relationship or common genetic/epidemiologic/clinic traits with SCLC and LCNEC [2, 63]. In particular, PCs as a whole are well-differentiated tumors as opposed to SCLC and LCNEC, with TC sharing some homologies with Grade 1 NETs and AC some homologies with Grade 2 NETs of the GEP tract. SCLC and LCNEC widely correspond to the Grade 3 NEC category of the GEP tract [2, 64].

TC has fewer than 2 mitoses/2 mm<sup>2</sup> and absence of necrosis, and AC has 2–10 mitoses/2 mm<sup>2</sup> and/or foci of punctate necrosis. SCLC and LCNEC have more than 10 mitoses/2 mm<sup>2</sup> (usually greater than 50/2 mm<sup>2</sup>) and extensive geographic necrosis [2, 55, 65]. A mitotic count should be carried out in hotspot areas. A report that IHC methods may be useful to highlight mitotic figures needs further confirmation [66]. Diagnostic criteria regarding the assessment of cell features (dimension of tumor cells, prominence of nucleoli, and chromatin pattern) are used to better classify poorly differentiated NECs (SCLC and LCNEC), whereas they do not serve to distinguish TC and AC. Molecular studies have shown differences between TC and AC and high-grade NECs [67]. There are limitations regarding reproducibility in differences of counting mitosis/area. Since the difference of one mitoses (e.g. from 10 to 11) makes an arbitrary difference in diagnostic category from AC to LCNEC, further studies are mandatory.

Combined tumors have been described for both SCLC and LCNEC [2], whereas the association of PC with conventional NSCLC is extremely rare.

### grading system

Grading of NE lung tumors is inherently present in the current classification scheme [2]. Considerable clinical and epidemiologic data exist to validate this grading system resulting in a pathologic four-tier and clinical three-tier spectrum of NE-differentiated tumors characterized by aggressiveness: TCs are low-grade malignant; AC intermediate-grade malignant; and LCNEC and SCLC are high-grade malignant NETs [2–5]. SCLC is so common to the lung to make it a distinctive entity with its own epidemiologic, genetic, clinical, and pathologic traits. However, establishing a grading system in lung NETs independent of histology could be clinically useful, although the criteria for grading lung NET may be different from those used for GEP NETs [68]. Such a grading system could include Ki-67, mitotic rate, and necrosis as recently proposed; however, there is a significant need for further validation studies [56].

### Ki-67 immunoreactivity

Ki-67 antigen IHC (the product of *MKI67* gene mapping to 10q26.2 gene involved in cell proliferation), which is generally carried out by using the clone Mib-1 and mostly expressed as labeling index (percentage of positive tumor cells or labeling index), has a value in distinguishing PCs from high-grade NETs in small crushed biopsies [69]. However, Ki-67 expression does not reliably separate TC from AC, much less SCLC from LCNEC, better than the existing 2004 WHO histological criteria [2]. Ki-67 may play a role in predicting prognosis on resected specimens of PCs; however more data are needed to determine the utility in lung NETs [56, 68, 70–72] as opposed to GEP NETs where Ki-67 labeling index is an integral part of the current grading system [1, 73–75]. Consensus regarding the optimal methods to adopt for assessing Ki-67 labeling index (digital image analysis, manual counting, eyeball estimation, and number of cells) is still lacking [75], even though some studies pointed to consider manual counting of at least 2000 cells in hotspot histological fields [52, 71, 72, 76, 77]. A high overall agreement of manual Ki-67 labeling index evaluation

and an automated assessment method upon scanned slides have recently substantiated the value, reproducibility, and ease of Ki-67 labeling index upon manual mitotic counting [56, 78].

### tumor staging

Tumor staging of PCs is recommended according to the *Union Internationale Contre le Cancer/American Joint Committee on Cancer (UICC/AJCC)* 7th ed. TNM system [53, 73], although it will be necessary to refine descriptive categories according to tumor size, multicentricity, or other components of T, N, and M factors to be meaningful also in PCs [International Association for the Study of Lung Cancer (IASLC) Lung Cancer Perspective Staging Project]. Resection margins should be indicated, measuring the distance from the tumor edge to guarantee radical excision on surgical specimens [79]. Long-term studies, however, are needed to assess the risk and rate of relapse for R1 resection. Most cases of multiple carcinoids are synchronous primaries rather than intrapulmonary metastases, particularly in the setting of Diffuse Idiopathic NeuroEndocrine Cell Hyperplasia (DIPNECH) [53].

### other pathology information

The occurrence of NE cell hyperplasia in the surrounding lung tissue should be accurately documented [2]. This may require representative sampling of the non-neoplastic lung surrounding resected carcinoid tumors. Most cases of NE hyperplasia represent an incidental finding in the non-neoplastic lung tissue adjacent to ~25% of excised carcinoid tumors [80]. It is defined by the growth of NE cells proliferating in single cells and clusters within the bronchial epithelium. Nodular NE cell proliferations measuring <0.5 cm are termed ‘tumorlets’ and they typically show no mitoses, no necrosis, and a low Ki-67 labeling index [2], but may be detected on CT scan as subcentimeter multiple lung nodules. Incidental NE cell hyperplasia is relatively common, but DIPNECH is extremely rare and is characterized by widespread NE cell hyperplasia and tumorlets. It is regarded as a pre-invasive lesion associated with some risk of developing TC or AC, which can be multiple [51, 81–84]. In DIPNECH, a high-resolution CT scan with an expiration study may show not only multiple nodules due to the tumorlets and carcinoid tumors, but it may show ground glass attenuation, bronchiectasis, and air trapping due to small airway obstruction [85].

IHC demonstration of few selected markers [chromogranin A, synaptophysin and/or CD56, cytokeratins, and thyroid transcription factor-1 (TTF1)] may help to confirm NE and epithelial differentiation, especially in limited diagnostic material [2]. These immunostains do not distinguish TC from AC, even though the latter may present with more irregular distribution of NE markers. TTF1 is more commonly found in peripheral PC and cytokeratins may assist in the diagnostic recognition of pulmonary paraganglioma [2]. The separation of PC from poorly differentiated NET, especially in limited diagnostic material, may be reliably made exploiting Ki-67 labeling index [68]. Immunostains for CDX-2, Islet1, TTF1 or specific hormones, and biogenic amines might be used to separate pulmonary NET from lung metastases of well-differentiated NET of other organs usually GEP in origin [86, 87]. Breast or prostate cancer may exhibit NE differentiation, which may lead to diagnostic confusion with PC, when

they are metastatic to the lung but can be reliably diagnosed by additional immunohistochemical assessment [88, 89]. Histological variants of PCs (spindle cell, oncocytic, and melanocytic) may impact on differential diagnosis, but do not have clinical relevance. No molecular tests should currently be routinely carried out in PC samples. If clinically needed, somatostatin receptors (SSTRs) can be examined [90].

### small biopsy and/or cytology samples

A correct diagnosis in small biopsies and/or cytology samples requires careful attention to morphologic and IHC features. TC and AC cannot be distinguished in biopsy and cytology, whereas AC may be suspected if mitoses or necrosis are present. If a low proliferation activity is seen by Ki-67 labeling index, this may be helpful to exclude high-grade NECs even in small biopsy specimens [69]. Likewise, Ki-67 antigen IHC can effectively be used in cytology preparations to avoid misdiagnosis of poorly differentiated NET [69], whereas this procedure is not reliable for distinguishing TC from AC on conventional smears. Immunostains for pan-endocrine markers to confirm the NE nature of tumor cells are useful in limited diagnostic material, whether biopsy or cytology. Cytokeratins (pool AE1/AE3) may be negative in up to 25% of PCs, but are consistently positive in SCLC and LCNEC.

### pathology recommendations for the best practice

- Pathology is the gold standard in the assessment of any pulmonary NET diagnosis. Problem cases may benefit from review by expert pathologists (*Level of Evidence 3; Grade of recommendation B*).
- Current standard for classification and nomenclature is the 2004 WHO classification. Relevant information also derives from the UICC/AJCC 7th edition TNM staging. Other classifications are not recommended (*Level of Evidence 3; Grade of recommendation B*).
- Pathology report on pulmonary NETs should be shared by the multidisciplinary team along with oncologists, radiologists, nuclear medicine physicians, surgeons, pneumologists, and endocrinologists, where pathologists contribute to the clinical decision-making process (*Level of Evidence 5; Grade of recommendation C*).
- PCs as a whole are well-differentiated NETs as opposed to poorly differentiated SCLC and LCNEC, and include low-grade malignant tumors, i.e. TC, and intermediate-grade malignant tumors, i.e. AC. TC is closest to the G1 GEP NETs and AC is closest to the G2 GEP NETs. SCLC and LCNEC generally correspond to the NEC category of the gastrointestinal tract according to the current WHO classification. Diagnostic criteria, however, still rely primarily on histology (*Level of Evidence 3; Grade of recommendation B*).
- Separation of TC from AC requires a surgical specimen. TC and AC cannot be reliably distinguished from each other in small biopsy and cytology (*Level of Evidence 3; Grade of recommendation C*).
- Mitotic count, necrosis, and Ki-67 labeling index should be indicated in the pathology reports of surgical specimens or

biopsy samples for at least two reasons: (i) mitoses and necrosis are part of the classification criteria and permit cross-study comparisons; (ii) since the mitotic rate and Ki-67 proliferation index impact on survival even within AC (*Level of Evidence 3; Grade of recommendation C*).

- There are at least four major issues regarding Ki-67 labeling index assessment in NE lung tumors: (i) Ki-67 is useful in biopsy for distinguishing TC and AC from SCLC cytology (*Level of Evidence 4; Grade of recommendation C*); (ii) Ki-67 does not reliably distinguish TC from AC in any material (*Level of Evidence 4; Grade of recommendation C*); (iii) Ki-67 has been shown to predict prognosis of TC and AC (*Level of Evidence 4; Grade of recommendation C*); and (iv) the optimal procedure for performing Ki-67 ICH and the criteria for performing the relevant labeling index (digital image analysis, manual counting, eyeball evaluation, hotspot areas versus randomly selected field versus entire tumor area, and number of cells) remains to be settled (*Level of Evidence 4/5; Grade of recommendation C*).
- A few NE immunomarkers (chromogranin A, synaptophysin, and/or CD56/NCAM) may be used to confirm NE nature of tumors especially in biopsy/cytology specimens or surgical specimens, if needed. In case of metastatic PC presentation, positive TTF1 staining is suggestive of a lung or thyroid origin (*Level of Evidence 3; Grade of recommendation C*).
- NE cell hyperplasia, tumorlets, DIPNECH, and multiple tumors should be carefully documented and most often pertain to PCs. DIPNECH is a pre-invasive lesion able to progress to TC or AC. Histological evaluation may provide information to decide if multiple PCs are intrapulmonary seeding or multiple primaries, as an association with NE cell hyperplasia, tumorlets, or DIPNECH favors multiple NETs (*Level of Evidence 4; Grade of recommendation C*).
- No proof has been provided that different histological tumor cell features may have clinical significance, although they may seriously impact differential diagnosis. Cell atypia or pleomorphism is not useful to classify PCs (*Level of Evidence 4; Grade of recommendation C*).
- No molecular tests should currently be routinely carried out in PCs, unless specifically required by study protocols (*Level of Evidence 4; Grade of recommendation C*).

### diagnosis

PCs are traditionally subdivided into central and peripheral on the basis of their origin in respect of the bronchial tree; however, they can occur throughout the lung parenchyma. Respiratory symptoms are generally present only in central forms, while peripheral forms are generally discovered as an incidental finding in the course of radiological procedure carried out for other reasons. The most frequent respiratory symptoms are recurrent chest infections, cough, hemoptysis, chest pain, dyspnea, and wheezing. In rare cases, hormonal hypersecretion reveals the underlying tumor.

There are some difficulties in the generation of recommendations for imaging and surgery for PC because many patients present with a 'lung mass' rather than a preoperative diagnosis of a PC; thus, preoperative workup and imaging needs to be

pragmatic and shown to add value to justify any additional cost and delay to definitive surgical treatment.

Needless to say all patients should be discussed within a multidisciplinary tumor board with a specialist interest in PCs to establish the most appropriate management for patients (Figures 1 and 2).

### biochemical assessment

The baseline tests should be limited to renal function, calcium, glucose, and plasma chromogranin A measurements [91–93]. In syndromic patients, specific markers are measured, e.g. 24-h urine 5-hydroxyindoleacetic acid measurement in carcinoid syndrome [94–96]. Carcinoid syndrome is found in 2–5% of PCs, most often when liver metastases are present. Cushing's syndrome is found in 1–6% of patients and measurements recommended include serum cortisol, 24 h urine free cortisol, and adrenocorticotropic hormone (ACTH). Up to 40% of patients presenting with ectopic Cushing's are found to have a PC. Acromegaly due to ectopic growth hormone-releasing hormone (GHRH) or insulin-like growth factor 1 (IGF-1) is a rare association and serum growth hormone; GHRH and IGF-1 can be measured when clinically indicated. Extremely rarely ectopic insulin secretion may be associated in patients presenting with recurrent hypoglycemic episodes. The syndrome of inappropriate antidiuretic hormone secretion is found in 5% of patients with SCLC and rarely in PC [94, 96, 97].

### MEN1-associated forms

PC may be rarely associated with MEN1 syndrome (<5% of patients) and should not be confused with a metastatic spread

or a second cancer. MEN1 is investigated by family history, clinical examination, and minimal laboratory screening including ionized calcium, intact parathyroid hormone, and prolactin [98]. If the familial history is suggestive of a MEN1 syndrome or a second MEN1 feature is present, e.g. hyperparathyroidism, screening for MEN1 mutational analysis should be carried out [96, 99].

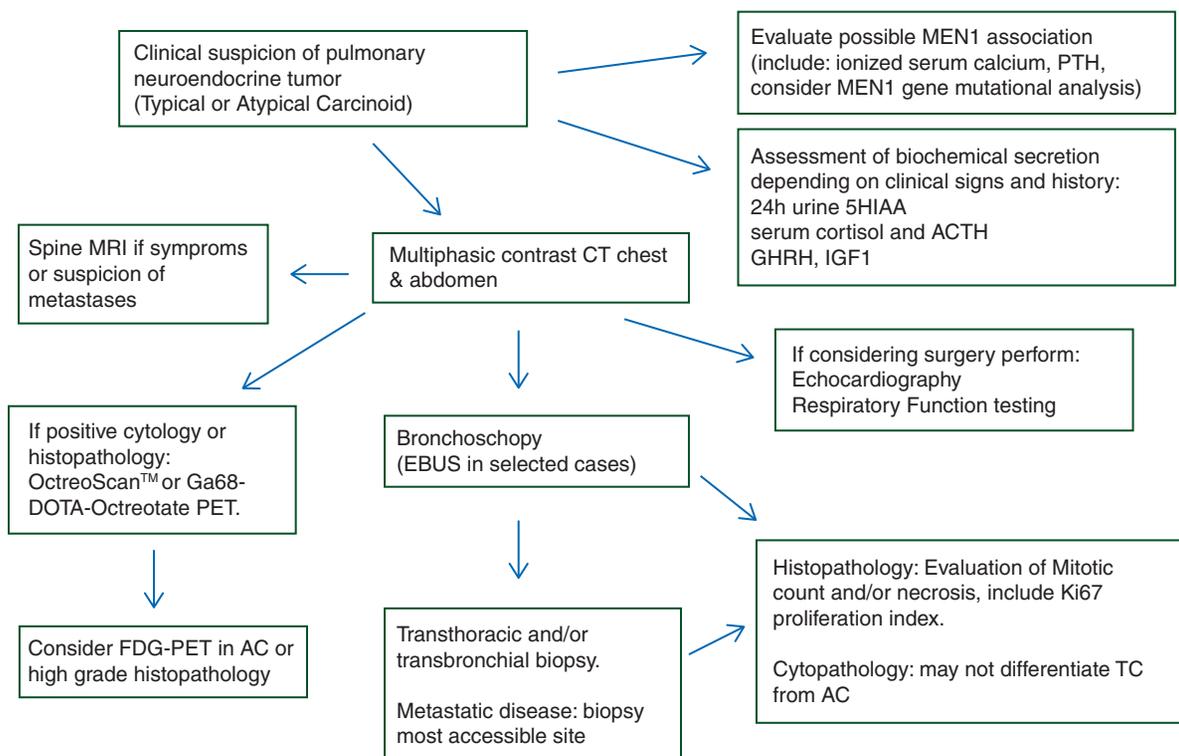
### radiological imaging at diagnosis

More than 40% of the cases may be incidentally detectable on a standard chest X-ray [100]. The gold standard is a contrast CT scan. A standard lung CT acquisition technique is used. A delay of ~20 s between the injection of contrast and images acquisition is required to obtain a good contrast enhancement of mediastinal structures. In patients in whom contrast is contraindicated, high-resolution CT may be used [81, 94, 95, 101, 102].

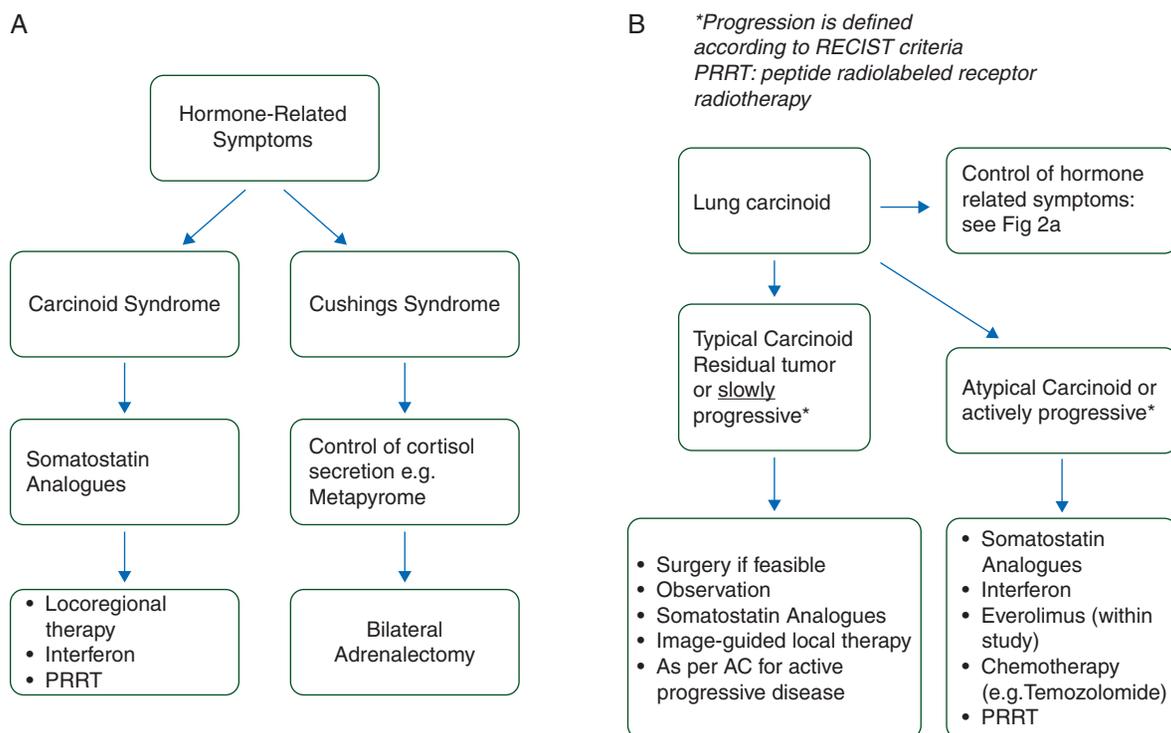
The CT imaging features of a PC is often nonspecific and may have similar appearances to adenocarcinoma or an epidermoid lung carcinoma. The most common appearance is a round or ovoid shape peripheral lung nodule with smooth or lobular margins [101]. PCs, however, are often highly vascular and typically enhance following intravenous contrast administration [102]. They tend to grow very slowly when compared with other types of lung cancer.

In central forms, PCs are most often associated with indirect signs of obstruction on CT such as atelectasis, air trapping, obstructive pneumonitis or rarely, bronchiectasis or lung abscess.

For DIPNECH, high-resolution CT with an expiration study is useful as it shows mosaic attenuation or air trapping in



**Figure 1.** Algorithm for diagnosis of pulmonary neuroendocrine tumor.



**Figure 2.** (A) ENETS recommendations for the control of hormone-related symptoms. (B) ENETS recommendations for the control of hormone-related symptoms and tumor growth. \*Progression is defined according to RECIST criteria. PRRT: peptide radiolabeled receptor radiotherapy.

addition to multiple nodules due to the tumorlets and carcinoid tumors.

### TNM staging

Patients should be staged according to the UICC 7th TNM classification. Both conventional and scintigraphic imaging are used to stage PC patients. N2 staging does not represent an absolute contraindication for surgery due to the indolent course of a significant number of patients.

Controversy exists on the optimum mode of preoperative staging in the mediastinum. This is because of the lack of information and consensus on surgery for patients with mediastinal lymph node involvement, for example, in a recent survey by the European Society of Thoracic Surgeons, many surgeons across Europe would offer upfront surgery for patients with clinical N2 disease as long as the primary tumor was deemed to be resectable [32]. If that is the case, then it could be argued that no further invasive staging is required if initial nuclear imaging suggests N2 disease. However, there are few studies which have analyzed in depth the reasons for the impact of the presence of lymph node involvement in the prognosis of PCs. In the EMETNE's experience, most patients with TC who presented metastasis or local recurrence in follow-up were in stage I and more than 55% are alive after treatment. Among the AC cases with this evolution, however, 66% had lymph node metastases, and 80% of them died after treatment because of the recurrence. The analysis of these results enables us to confirm that nodal invasion demonstrates an obvious influence in the prognosis of AC [103].

Imaging is also crucial for determining distant metastases. The most common sites of PC metastases are the liver, bones, and mediastinal lymph nodes [104]. Multiphase CT including arterial and portal phase or magnetic resonance imaging (MRI) with dynamic acquisition and diffusion-weighted sequences of the liver should be used for the detection of liver metastases [105].

CT with appropriate window setting may be useful to reveal bone metastases; however, MRI is the technique of choice for detection and characterization of bone metastases, especially of the spine. Somatostatin receptor scintigraphy (SRS) and most recently SSTR positron emission tomography (PET) imaging may have a higher grade of sensitivity for bone metastases [106, 107] (see below).

### nuclear medicine techniques

Nuclear medicine techniques may play a role in the diagnosis since they are more specific than conventional imaging for TC and 'lower grade' AC and enable whole-body imaging for staging, and help to predict the response to peptide receptor radiotargeted therapy (PRRT).

Whole-body SRS with thorax single-photon emission CT (SPECT)/CT may be useful to determine the N and M stage at diagnosis in the preoperative phase. Nearly 80% of the primary tumors, predominantly TC, may be visualized with this technique [79, 94, 106, 108]. The SUV at fludeoxyglucose (FDG) PET is generally higher in AC with a higher proliferation index, thus demonstrating that FDG PET is helpful in determining the biology of PC [109]. FDG PET is the most sensitive technique for poorly differentiated forms (SCLC and LCLC) [110, 111].

A study by Pattenden et al. [112] reported the sensitivity and specificity of  $^{18}\text{F}$ -FDG PET/CT for the diagnosis of mediastinal lymph node disease in 207 patients with TC and AC as 33 and 94%, respectively, suggesting that lymph node metastases cannot accurately be ruled out with a negative PET-CT in TC. If treatment decisions are based on the N2 status, further mediastinal staging using either endobronchial ultrasound/endoscopy ultrasound fine needle aspiration or mediastinoscopy and lymph node biopsies will be required.

Whenever available, Gallium-68-1,4,7,10-tetraazacyclododecane-1,4,7,10-tetraacetic acid (DOTA) somatostatin analog [SSA; either octreotate, octreotide, or Nal(3)-octreotide] PET is more sensitive and preferable to SRS [95, 107, 113–116].  $\text{C}^{11}$ -5-hydroxytryptophan PET and  $^{64}\text{Cu}$  DOTATATE are promising techniques for lung NET, but experience is limited to single centers [117, 118].

### other diagnostic techniques

Other techniques are required to reach the definitive diagnosis and to perform the WHO classification and staging. These include:

#### bronchoscopy

Bronchoscopy is indicated in all the central forms. It also enables biopsy. Flexible bronchoscopy is preferable; however, the clinician should be aware of the possibility of bleeding. In patients at high risk for bleeding, rigid bronchoscopy may be preferred, both for obtaining biopsy specimens and also for performing ablation procedures [94, 96, 119].

There is currently limited evidence regarding the added value of new bronchoscopic techniques (echo-endoscopy, fluorescence bronchoscopy, and microbronchoscopy) to increase the sensitivity of detection of primary tumors, recurrence, or mediastinum lymph node staging and to determine endobronchial tumor margins before surgical resection [120, 121].

For peripheral lesions, biopsy specimen may be obtained by either endoscopic transbronchial biopsy or more frequently a transthoracic CT-guided biopsy. It should be emphasized that the small biopsies may make it difficult to differentiate between TC and AC [69, 94, 96, 119, 120].

#### functional respiratory tests

Functional respiratory tests should always be carried out to assess the surgical risk and to screen for bronchostenosis and to assess for the not infrequent association with chronic obstructive airways disease [122].

#### echocardiography

Echocardiography should be carried out at diagnosis and in the course of follow-up to evaluate the presence and evolution of carcinoid heart disease [123]. In PC, left- as well as right-side valves should be screened [123, 124]. Accurate echocardiographic evaluation should always be carried out before surgical procedures.

It should be remembered that the carcinoid syndrome may be diagnosed in pulmonary NET even in the absence of liver metastases and therefore carcinoid heart disease may be present,

particularly on the left side. Furthermore, the association with AC syndrome with bronchospasm may additionally impact on the heart function.

### cerebral CT or MRI

Cerebral metastases are a common event in poorly differentiated NETs, but are anecdotal in PC more often with higher grade AC. Cerebral CT or MR is not routinely recommended, but should be carried out in the staging phase and during the follow-up when there is clinical suspicion or indication of disease. For the same reasons, prophylactic cerebral radiotherapy, which is recommended in small cell carcinoma, is not justified in these tumors.

## diagnosis: recommendations for the best practice

- Biochemical baseline tests should be limited to renal function, liver function, calcium, glucose, and plasma chromogranin A measurements (*Level of Evidence 4, Grade of recommendation D*).
- Paraneoplastic syndrome might occur in the setting of PC. Biochemical testing should be carried out in consideration of clinical symptoms and features including as appropriate 24-h urine 5-hydroxy-indole-acetic acid, ACTH, and GHRH (*Level of Evidence 4, Grade of recommendation A*).
- PC may be associated with MEN1 syndrome in <5% of patients. MEN1 is investigated by family history, clinical examination, and minimal laboratory screening (*Level of Evidence 4, Grade of recommendation C*). If the familial history is suggestive of a MEN1 syndrome or a second MEN1 feature is present, screening for MEN1 gene mutation should be carried out (*Level of Evidence 5, Grade of recommendation C*).
- More than 40% of the cases may be incidentally detectable on a standard chest X-ray (*Level of Evidence 3/4, Grade of recommendation C*).
- The gold standard is contrast CT (*Level of Evidence 3, Grade of recommendation B*). In patients in whom contrast is contraindicated, high-resolution CT may be used (*Level of Evidence 4, Grade of recommendation C*).
- Multiphase CT including arterial and portal phase or MRI with dynamic acquisition and diffusion-weighted sequences of the liver should be used for the detection of liver metastases (*Level of Evidence 4, Grade of recommendation C*).
- A CT chest and abdomen should be undertaken for preoperative staging (*Level of Evidence 4, Grade of recommendation A, strong recommendation for good practice*).
- Bronchoscopy may be required for the staging and assessment of central airway tumors preoperatively (*Level of Evidence 4, Grade of recommendation A*).
- Flexible bronchoscopy is preferable; however, in patients at high risk for bleeding, rigid bronchoscopy may be preferred for obtaining biopsy specimens (*Level of Evidence 4, Grade of recommendation B*).
- There is currently limited evidence regarding the added value of new bronchoscopic techniques to increase the sensitivity of detection of primary tumors or recurrence (*Level of Evidence 4, Grade of recommendation D*).

- Small biopsies may make it difficult to differentiate between TC and AC (*Level of Evidence 4, Grade of recommendation C*).
- Whole-body SRS with thorax SPECT/CT may be useful to visualize nearly 80% of the primary tumors (*Level of Evidence 4, Grade of recommendation B*).
- SRS and SSTR PET imaging may have a higher grade of sensitivity for bone metastases (*Level of evidence 4, Grade of recommendation D*).
- Gallium-68-DOTA SSA PET is more sensitive and preferable to SRS if available (*Level of Evidence 4, Grade of recommendation C*).
- Most TCs have low or no uptake on FDG PET, whereas ACs may have higher uptake. FDG PET is most useful for poorly differentiated forms (SCLC and LCLC) (*Level of Evidence 4, Grade of recommendation C*).
- Functional respiratory tests should always be carried out to assess the surgical risk and the association with chronic obstructive airways disease and to screen for bronchostenosis (*Level of Evidence 4, Grade of Recommendation A*).
- Echocardiography is always indicated in patients with carcinoid syndrome before surgery (*Level of Evidence 4, Grade of recommendation B, strong recommendation for good practice*). In PC, left- as well as right-side valves should be screened (*Level of Evidence 4, Grade of recommendation B*).

## surgery

Surgical removal is the treatment of choice for PCs. The aim is to remove the tumor and to preserve as much lung tissue as possible. The surgical approach is dependent on the size, location, and tissue type.

### surgery for localized disease

*complete anatomic resection and systematic nodal dissection.*

For patients with peripheral lung tumors, the surgical extent of choice is complete anatomic resection (lobectomy and segmentectomy). The extent of lymph node management should conform to the IASLC recommendations for the certainty factor in the designation of R0 resection; this involves a minimum of six nodes/stations, three of which should be mediastinal including the subcarinal station [125].

In patients with limited pulmonary function, the practice of a standard segment resection achieves better results than a broad wedge resection. For peripheral AC, if only a limited sublobar resection is carried out, there may be increased probability of local recurrence postoperatively.

*lung parenchymal-sparing surgery and systematic nodal dissection.* For patients with central airway tumors, lung parenchymal-sparing surgery is the favored surgical objective. This is in view of the low malignant and recurrence potential (almost exclusively TC). Where possible, bronchial sleeve resection (no lung tissue is removed) or a sleeve lobectomy should be carried out in preference to pneumonectomy (ideally with intraoperative frozen section of the resection margins) [126]. If necessary, patients should be referred to regional or national centers of excellence if such services are not available locally. In the presence of distant pneumonitis

and destroyed lung parenchyma, an initial local endobronchial resection to disobliterate the airway may be undertaken for drainage before re-assessment for lung parenchymal-sparing surgery [126].

Systemic nodal dissection should be carried out since lymph node metastases may be present in up to 25% of cases in TC and >50% in AC [96, 127].

*local resection.* Endobronchial (e.g. local diathermy resection and laser resection) or peripheral (e.g. radiofrequency ablation, RF) local ablation techniques may be employed with palliative intent [126].

### surgery for metastatic disease

There is little evidence to guide on surgery as part of multimodality management of patients with metastatic disease. If pulmonary surgery is considered, then consensus would be to reserve surgery for patients with limited sites of disease with 'curative intent' where radical treatment is possible for all sites. Such surgery is usually applied to TC and perhaps AC with low mitotic counts.

### liver resection

Surgical resection of liver metastases can be considered with curative intent, to aid symptom control or for debulking when >90% of tumor can be removed. Complete resection of liver metastases has increased 5-year overall survival rates to over 70% [128]. Thus, where possible, metastases should be resected. The minimal requirements for curative intent are (i) resectable G1–G2 liver disease with acceptable morbidity and <5% mortality; (ii) absence of right heart insufficiency; (iii) absence of unresectable lymph node and extra-abdominal metastases; and (iv) absence of diffuse or unresectable peritoneal carcinomatosis [129].

### surgery for recurrent disease

In general, recurrent disease is uncommon, and surgery may be offered on the same premise of perioperative risk and stage selection as primary surgery. Cases of regional recurrences have been noted up to and beyond 30 years from the original resection of the primary tumor [130, 131]. Other studies have reported recurrences >10 years from the initial resection [132–134]. Sometimes, it is possible to treat local recurrences with further surgery [134].

### survey of surgical management

In June 2012, the European Society for Thoracic Surgery (ESTS, <http://www.ests.org/default.aspx>) launched the Neuroendocrine Tumors of the Lung Working Group (NETs-WG) and included an electronic survey on PCs (E. Lim, personal communication). The questionnaire included 19 questions, organized in four sections:

- Preoperative patient assessment.
- Surgical approach to particular clinical NET scenarios.
- Follow-up organization.
- Treatment of tumor recurrences/metastases.

One hundred and seventy-two institutions worldwide replied to this survey. Concerning the surgical approach to well-differentiated lung NETs, an anatomic resection (either segmentectomy or lobectomy) was suggested for a peripheral PC by more than 88% of responders, whereas only 19 (11%) considered a wedge resection as an adequate surgical option. In total, 164 (95%) responded that pneumonectomy should be avoided whenever feasible in case of centrally located PC, performing parenchymal-sparing resections, with preference for performing parenchymal-sparing resections; nevertheless, 8 (5%) still believed that pneumonectomy was the treatment of choice. Lymphadenectomy, and in particular systematic hilar and mediastinal lymphadenectomy according to the ESTS recommendations for intraoperative lymph node assessment [112], was suggested by the majority of responders (64%); lymph node sampling was considered adequate by 34% of institutions, while four centers replied that they did not perform lymphadenectomy in any patient with PC.

In the case of a fit patient with resectable N2 PC, upfront surgery was suggested by more than half of responders, while induction chemotherapy was proposed by 71 centers (41%).

Furthermore, another unusual but sometimes described [135–137] clinical scenario is represented by the multifocal PCs (bilateral synchronous/metachronous ones). Currently, there is no consensus concerning their treatment, and a therapeutic strategy must be designed on a case-by-case basis. Looking at the ESTS survey results, the lack of guidelines is confirmed by the fact that 36% of responders replied suggesting an anatomic resection, but the other answers were split between a non-anatomic resection and the resection of the larger lesion, only.

Finally, all but one of responders stated that surgery (if feasible) should be proposed in the case of recurrent TC, whereas other therapeutic options (biological therapy and conventional chemotherapy) were also suggested in the case of recurrent AC.

**local bronchoscopic therapy.** PCs without an extraluminal component can be treated at bronchoscopy resulting in an excellent long-term outcome with more tissue-sparing than immediate surgical resection. For successful tumor eradication with initial bronchoscopic treatment, the assessment of intraluminal versus extraluminal growth may be of much more importance than histologic division between TC and AC [42]. To decrease the risk of local recurrence, cryotherapy is a safe and effective adjunct to endobronchial mechanical resection of TC and tends not to be associated with long-term complications such as bronchial stenosis [138]. In endoluminal PCs, laser bronchoscopy may be a curative option and offers many advantages as it is rapid, immediately effective and repeatable. Laser bronchoscopy may also be used in association with other therapies (i.e. radiotherapy) in cases of widespread intramural infiltration with an extraluminal component [139]. If the local treatment is not radical, surgical resection can follow bronchoscopic treatment. This treatment strategy represents a minimally invasive and parenchyma sparing alternative, which may limit lobectomy to the cases of recurrence after endobronchial treatment. Such local therapies need to be considered in the context of patient medical status, type of PC, and robust imaging including functional imaging to exclude nodal spread.

## surgery: recommendations for the best practice

- In the case of localized disease, the surgical techniques of choice are lobectomy or sleeve resection (*Level of evidence 5, Grade of recommendation A*).
- Complete anatomic resection and systematic nodal dissection are recommended as the resection extent of choice of patients with peripheral tumors (*Level of Evidence 5, Grade of recommendation D*).
- Lung parenchymal-sparing surgery should be preferred over pneumonectomy (*Level of Evidence 5, Grade of recommendation C*).
- Local resection should be reserved for patients who are considered unacceptably high risk for bronchopulmonary surgery (*Level of Evidence 5, Grade of recommendation D*).
- Endoluminal bronchoscopic therapy, more appropriately for TC, should be reserved for patients who are considered unacceptably high risk for bronchopulmonary surgery or occasionally as a possible bridge to surgery (*Level of Evidence 5, Grade of recommendation D*).
- Resection of liver metastases should be carried out whenever possible if curative intent is considered and in syndromic patients when >90% of tumor burden can be removed. The minimal requirements for curative intent include resectable TC and low-grade AC; <5% mortality; absence of right heart insufficiency; absence of unresectable lymph node and extra-abdominal metastases; and absence of unresectable peritoneal carcinomatosis (*Level of Evidence 4, Grade of recommendation C*).

## medical therapy

Advanced AC is more aggressive than TC [127, 140], and the medical management must incorporate multidisciplinary meeting review. The goals are to control both hormone-related symptoms and tumor growth [79, 94, 141]. The prognostic heterogeneity and absence of curative therapeutic options at the metastatic stage make quality of life a core issue. The key factors to take into account for medical management are the spontaneous slope without treatment, the metastatic spread of the primary tumor, SRS grade of uptake, and quality of control of hormone-related symptoms. Based on the limited number of studies available in patients with primary PC, these recommendations also rely on data available for well-differentiated digestive NETs. However, several significant parameters that differ from digestive NETs need to be stressed: a higher diversity of hormone-related symptoms, a bronchial-specific carcinoid syndrome that may exist even in patients free of liver metastases, low number of patients with distant metastases at diagnosis, higher prevalence of bone, cutaneous and brain metastases, and lower frequency of MEN1 syndrome.

## control of hormonal secretion

Symptoms related to hormone secretion are present in up to 30% of advanced PCs. Carcinoid syndrome is the most frequent functioning syndrome in PC. SSAs constitute the gold standard for symptomatic control. A >50% improvement in the frequency of flushing symptoms and diarrhea has been reported after SSA

in GEP NETs [142, 143]. A study specifically looking at the management of carcinoid syndrome in seven patients with AC showed complete control of symptoms with short-acting octreotide injections [144]. The presence of carcinoid heart disease should be carefully monitored.

Symptoms of Cushing syndrome are seen in 1–2% of patients with a PC and may be the initial reason for seeking medical attention. Cushing syndrome could be treated with commonly available agents such as ketoconazole, metyrapone, etomidate, or mifepristone. Ketoconazole, given at a daily dose of 600–800 mg, is the most popular and effective. Metyrapone is also often used in this setting, and therapy starts at 1 g/day divided into four doses and increased to a maximum dose of 4.5 g/day. For ectopic GHRH secretion and acromegaly, SSAs can be of value. Some patients with ectopic ACTH syndrome might respond to SSA as well [79]. In the absence of hormonal control, other antitumor options need to be considered and these include: locoregional therapies [i.e. liver palliative surgery, transarterial chemoembolization (TACE), and RF], combination of SSA with interferon (IFN) and PRRT in selected patients [79, 95]. Prophylaxis against carcinoid crisis should be carried out before surgical or locoregional interventions using an adequate dosage of SSA. For major procedures, a preoperative intravenous bolus of 100–200 µg, followed by a continuous infusion of 50 µg/h during the procedure, is recommended and the dose can be increased if required. The infusion should continue for 24 h postoperatively before being slowly weaned over the next 48 h. In such cases, it is likely that patients will require long-acting SSA [79].

### adjuvant tumor control

Currently, there is no consensus on adjuvant therapy in PCs after complete resection. Indeed, both prognostic studies and trials in the adjuvant setting are lacking. Only patients with AC with positive lymph nodes, especially if there is a high proliferative index, should be considered for adjuvant therapy and discussed on an individual patient basis in the context of multidisciplinary tumor board meeting. Clinical trials are needed in this setting.

### palliative tumor control

There are no prospective trials dedicated to PC that may guide treatment, and most literature consists of case series or studies that deal with a mixed population of primary NET patients. Prognostic behavior and safety should be the first parameter to guide the therapeutic decision-making process.

In asymptomatic patients mainly with advanced TC or AC of low proliferative index as well as low tumor burden, a watch and see policy might be considered and explained to the patients on the basis of regular cross-sectional imaging initially 3–6 monthly.

SSA can induce stabilization in 30–70% of patients with well-differentiated NETs as demonstrated in multiple prospective and retrospective studies that included PCs [145–147]. There are no dedicated trials, available for lung primary. The randomized placebo controlled PROMID study of octreotide LAR 30 mg versus placebo in midgut NET ( $n = 85$ ) demonstrated antitumor control with median time to progression significantly

longer in the octreotide LAR 30 mg group than placebo, 14.3 months versus 6 months, respectively [148]. The phase III randomized placebo controlled (CLARINET) study in 204 patients with non-functional enteropancreatic NETs allocated to either lanreotide Autogel (120 mg/28 days) or placebo, and showed significantly prolonged progression-free survival over placebo ( $P = 0.0002$ ) with 62% of lanreotide-treated patients versus 22% of placebo treated having not progressed at 96 weeks [149].

The most commonly used long-acting SSAs are octreotide LAR by deep i.m. injection and lanreotide Autogel given s.c., both administered every 28 days. Due to their excellent safety profile, SSA should be considered as first-line systemic treatment of patients with advanced PCs of low proliferation index and positive SRS. Caution should be exercised in patients with high tumor burden, high mitotic index or rapidly progressive tumor, and a first imaging carried out at 2–3 months.

In patients with slowly progressive tumors, multiple locoregional therapies aiming at reducing the tumor burden and targeting the bronchial primary and liver, bone, or bronchial metastases should be considered [79, 95]. In contrast with digestive NETs, in whom mainly liver directed therapies are used, extra-liver metastases are frequently found in case of PCs and should also be considered as therapeutic targets. Surgical resection can be considered with intent of resection of macroscopic metastases as outlined above mainly in slowly progressive TC and low proliferative AC, or in case of symptoms related to the primary location [129, 150, 151].

### locoregional therapies

RF or cryoablation of the primary tumor are occasionally considered as an adjunct to surgery or whenever resection is not possible. Liver but also bone or lung metastases constitute potential targets of RF ablation. The size and anatomical locations constitute major predictors of efficacy. Liver metastases derive the majority of their blood supply from the hepatic arteries; therefore, selective embolization with either bland particles (transarterial embolization, TAE) or cytotoxics such as doxorubicin (TACE) are found to be effective in improving symptoms and radiological response, particularly in GEP NETs. Radiological response rates between 33 and 73% have been reported. There is no evidence suggesting a beneficial response of chemoembolization over particle embolization alone. There is emerging evidence of using radioactive microspheres such as Yttrium-90 in liver metastases. The added value of combination of locoregional therapies as an adjunct to surgery or systemic therapy in case of progressive disease should be kept in mind [129, 150].

### peptide receptor radiotargeted therapy

Well-differentiated PCs frequently express subtype 2 of the SSTR family and this can be identified by Indium-111 SSA scintigraphy or Gallium-68 SSA PET scans, which constitute predictors of response. PRRT may be used to treat metastases of TC and AC, with currently  $^{90}\text{Yttrium-DOTA}$  octreotide and  $^{177}\text{Lutetium DOTA}$  octreotide showing particular promise in selected patients with high uptake at SRS. Prospective and randomized trial results are warranted. Early phase II studies of Yttrium-90 octreotide found the response rate to be as high as

29% in seven PCs [152]. Although most studies are limited to single centers, a large retrospective study looking at 1109 metastatic NETs included 84 PCs, of which 28% showed a morphological response as estimated by RECIST criteria and 38.1% showed a clinical response with a mean survival of 40 months. Grade 3–4 toxicity is reported in 10–33% of patients, mainly renal or hematological toxicities including irreversible renal toxicity (9.2%) [153]. Lutetium-177 DOTA octreotate (DOTATATE) is a combination of the beta-emitting Lutetium coupled with octreotate [154]. A study looking at foregut NETs with response to Lutetium-177 included nine PCs, of which five showed a partial response and just one had progressive disease [155]. The number of bone metastases and baseline glomerular filtration rate correlated with toxicity.

### systemic chemotherapy

Systemic chemotherapy should be considered in patients with advanced unresectable progressive PC. In general, results with chemotherapy have been largely disappointing and survival data have to be interpreted with caution due to the small numbers of patients, the mixed population of primary tumors, the absence of progression before the study enrollment, and the old standard criteria. Overall response rate (ORR) below 30% has been described with 5-fluorouracil (5-FU), dacarbazine, and temozolomide (TMZ) alone or in combination, but also combinations of 5-FU with streptozotocin (STZ) or oxalipatin [156–158]. Their value in the management of advanced PCs remains unclear, but their level of G3-4 toxicity is expected above 10%. TMZ is advocated as palliative treatment in PCs as it has been the most widely studied in the subgroup of lung NET and has an acceptable safety profile. TMZ could also be considered in case of brain metastases [159]. Analysis of methylguanine DNA methyltransferase expression in NETs may help to select responders [160]. In lung NETs, a STZ-based regimen showed disappointing results with 7 of 7 patients progressing on STZ and 5-FU [161]. In a randomized trial analyzing 5-FU–STZ versus 5-FU–doxorubicin in symptomatic carcinoids including 22 PCs, 16% ORR was found with duration of 5 months and a survival advantage of the FU–STZ combination, suggesting that doxorubicin does not confer any benefit in these patients [156]. A retrospective analysis of 5-FU–STZ and cisplatin included 79 patients with progressive NET; of which, 8 were PCs. The response rate in this study for non-pancreatic primary sites was 25%, with overall median TTP 9.1 months. One PC patient had a partial response enabling surgery and resection of primary and nodal disease [162]. The combination of 5-FU and STZ plus or minus cisplatin (FCist) was recently re-examined in a randomized phase II trial of 85 consecutive NETs treated with this regimen and no added value of cisplatin was found [163]. A 20% response rate to cisplatin or carboplatin was reported in two studies specifically dedicated to well-differentiated PCs [161, 164]. Due to its significant rate of toxicity, cisplatin should only be considered in patients with more aggressive, advanced PCs.

### mtor inhibitors

Everolimus may be a therapeutic option after failure of other treatments in TC and AC. Mammalian target of Rapamycin

(mTOR) has been identified as a kinase activated in the PI3K signaling pathway of lung NETs [165]. Recently, mutations of PI3CA were reported in TC and AC. The randomized phase III RADIANT 2 trial assessed everolimus 10 mg + octreotide LAR versus placebo + octreotide LAR in 429 patients in non-pancreatic functioning (carcinoid syndrome) NETs. The study demonstrated a clinically significant 5.1 month increase in median progression-free survival [166]. Within this RADIANT 2 trial, 44 primaries were of bronchial origin [167]. The RAMSETE study analyzed the antitumor benefit of everolimus in 19 foregut-derived NETs with RECIST progression over a year. A progression-free survival of 189 days was reported [168]. The LUNA study is a three arm phase II trial assessing everolimus alone versus pasireotide (a pan-SSTR analog) versus combination and started recruiting in 2013 (LUNA study, see [www.clinicaltrials.gov/ct2/show/NCT01563354](http://www.clinicaltrials.gov/ct2/show/NCT01563354)). The randomized phase III RADIANT 4 trial, which assessed everolimus 10 mg versus placebo in 279 patients in non-functioning NETs including lung PCs, has been closed for enrollment and the results are expected in 2015.

### antiangiogenesis agents

The place of antiangiogenic agents in PC remains uncertain. Sunitinib is an orally administered kinase inhibitor small molecule with activity against a number of tyrosine kinase inhibitors including VEGFR-1, -2, -3, platelet-derived growth factor receptor (PDGFR)-a, and -b [169]. A phase II study evaluated the activity of sunitinib in 109 NE patients including 41 with carcinoids; of whom, 14 were foregut including PC. In the carcinoid patients, ORR was 2.4%, with stable disease in 83%, with a TTP of 10.2 months and 1-year survival rate of 83.4 [170]. The PAZONET study of pazopanib as a sequencing treatment in progressive metastatic NET showed a clinical benefit in 85% of patients treated with pazopanib, including patients with lung carcinoids [171]. Bevacizumab is an anti-vascular endothelial growth factor (VEGF) monoclonal antibody and in a phase II study patients were randomized to either bevacizumab or pegylated IFN, and 21 of 22 patients demonstrated a partial response in the bevacizumab group where 4 patients were PCs [172]. One phase II study analyzed the antitumor efficacy of sorafenib plus bevacizumab combination in 44 NETs, including 19 foregut NETs. A 10% ORR was reported in digestive NETs [173]. More studies looking at antiangiogenic activity in PC are required. Promising results have been published with the combination of bevacizumab and chemotherapy [174].

### erlotinib and EGFR inhibitors

The epidermal growth factor (EGF) pathway has been found to be expressed in PC, but no mutation has been reported to date. *In vitro* and *in vivo* studies using the EGF receptor inhibitor erlotinib suggested a potential role and currently phase II studies are ongoing ([www.clinicaltrials.gov](http://www.clinicaltrials.gov). NCT0084 3531 RAD001 and erlotinib in patients with NETs 2012; available from: <http://www.clinicaltrials.gov/ct2/results?term=NCT00843531>). Other pathways being investigated are fibroblast growth factor and MET pathways, with a range of new molecules being developed for the VEGF and PDGF pathways [175].

**follow-up**

After primary surgery, patients with TC and AC should be followed initially at least yearly. However, the follow-up needs to be long term even if less frequent to detect surgically manageable recurrences. Liver, mediastinum and abdomen lymph nodes, lung, cutaneous, and/or bone metastases are routinely evaluated by appropriate means. In case of high tumor burden or specific symptoms, brain metastases should be considered. Conventional imaging is carried out at 3 and 6 months and then every 12 months in TC together with chromogranin A measurements for the first 2 years. Then annual chest X-ray and biochemistry profile and a CT every 3 years long-term are recommended. The frequency may be more frequent in R1 or node-positive patients. SRS should be carried out at 12 months and then only on suspicion of recurrence for TC. For AC, closer monitoring is recommended. CT imaging should be carried out 3 months post-surgery and then 6 monthly for 5 years with biochemical markers. SRS imaging is carried out at 1 year and then on suspicion of recurrence. After 5 years, annual CT should be carried out. In selected patients with high proliferative index, FDG PET may be more informative and replace SRS imaging.

At the time of a therapeutic intervention, patients should be routinely monitored every 3 months. Fiberoptic bronchoscopy is carried out for any symptoms suggestive of local progression and on a routine basis every 5–10 years for TC or 1–3 years in case of AC. The type of surgery, the R status, and the size of the primary will also be taken into account to define the most appropriate interval [79, 95, 176].

**medical therapy: recommendations for the best practice**

- A watch and see policy may be considered in a subgroup of asymptomatic patients mainly with TC or AC of low proliferative index (*Level of Evidence 5; Grade of recommendation D*).
- SSAs are the first-line treatment of carcinoid syndrome and acromegaly (*Level of Evidence 3; Grade of recommendation B*).
- In patients with Cushing syndrome, control of cortisol secretion is needed (*Level of Evidence 3; Grade of recommendation B*).
- Bilateral adrenalectomy is a therapeutic option for refractory Cushing syndrome (*Level of Evidence 5; Grade of recommendation D*).
- SSA may be considered as first-line systemic antiproliferative treatment of patients with advanced unresectable PC of good prognosis particularly TC and AC with low proliferative index, and slowly progressive provided that SRI is positive (*Level of Evidence 3; Grade of recommendation B*).
- Locoregional options including surgery (for primary and metastases), TAE, and RF should always be considered for slow progressive PC (*Level of Evidence 4; Grade of recommendation C*).
- Cytotoxic treatment has been the standard for aggressive metastatic PCs, although the available chemotherapy regimens demonstrate a limited effect (*Level of Evidence 3; Grade of recommendation B*).
- TMZ alone has demonstrated clinical benefit (*Level of Evidence 3; Grade of recommendation C*). A combination of cisplatin and etoposide is mainly used in high proliferating PC (*Level of Evidence 3; Grade of recommendation B*).

- PRRT is an option in patients with tumors that demonstrate strong expression of SSTRs (*Level of Evidence 3; Grade of recommendation C, strong recommendation for good practice*).
- There is evidence of preliminary efficacy for everolimus in the treatment of progressive PC. The ongoing randomized phase III LUNA study will determine future management (*Level of Evidence 4; Grade of recommendation D*).
- There is no consensus on adjuvant therapy in PCs after complete resection. There might be consideration in patient with AC of high proliferative index (*Level of Evidence 4; Grade of recommendation D*).
- After primary surgery, patients with TC and AC should be followed long term (*Level of Evidence 4; Grade of recommendation B*).

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## appendix

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